

Electronic Acknowledgement Receipt

EFS ID:	4052911
Application Number:	10615796
International Application Number:	
Confirmation Number:	1916
Title of Invention:	Methods and apparatus relating to the formulation and trading of investment contracts
First Named Inventor/Applicant Name:	Ian Kenneth Shepherd
Customer Number:	26111
Filer:	Robert E. Sokohl/Kim Perry
Filer Authorized By:	Robert E. Sokohl
Attorney Docket Number:	2151.0050002
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1110
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1		21510050002filing.pdf 31e8d64938213edc69a82cc1b167a7be> 7fbaf6	359131	yes	10
Multipart Description/PDF files in .zip description					
Document Description		Start		End	
Miscellaneous Incoming Letter		1		1	
Extension of Time		2		2	
Amendment/Req. Reconsideration-After Non-Final Reject		3		3	
Abstract		3		4	
Claims		5		8	
Applicant Arguments/Remarks Made in an Amendment		9		10	

Warnings:**Information:**

2	Fee Worksheet (PTO-06)	fee-info.pdf 8c43ff924e95af9c1addc693e06902cf208 17e	29937	no	2
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Warnings:**Information:****Total Files Size (in bytes):** 389068

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.